



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
|--------------------|---------------------|-----------------------|---------------------------|

DATE MAILED:

**NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 13.00 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☒ large entity ☐ small entity (verified statement filed), is \$ 31.12.

☐ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 7.10 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 2.71, including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.
☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ _____ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

Customer Service Center
Initial Patent Examination Division (703) 308-1202



229/119
Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Joel A. Drewes et al.

Serial No. 08/950,963

Filed: October 15, 1997

For: **METHODS AND DEVICES FOR
MASS TRANSPORT ASSISTED
OPTICAL ASSAYS**

Group Art Unit: 1815

Examiner: To be assigned

**SUBMISSION OF MISSING PARTS AND RELATED
APPLICATION PAPERS**

Attention: BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR §1.53(d)**, which was mailed on January 22, 1998, enclosed are:

- ☒ Combined Declaration and Power of Attorney (Original), signed in counterpart
- ☒ Recordation Form Cover Sheet (Patents Only)
- ☒ Assignment of the Invention to Biostar, Inc., signed in counterpart
- ☒ A Verified Statement to establish "SMALL ENTITY STATUS" under 37 CFR 1.9(f) and 1.27(b).

1. REQUEST FOR EXTENSION OF TIME:

- ☐ Applicant requests an **Extension of Time** of ____ month to respond; the requisite fee has been calculated as shown below:

| | | Small Entity | Large Entity |
|--------------------------|----------------------|------------------|------------------|
| <input type="checkbox"/> | 1 month | \$ 55.00 | \$ 110.00 |
| <input type="checkbox"/> | 2 months | \$ 190.00 | \$ 380.00 |
| <input type="checkbox"/> | 3 months | \$ 450.00 | \$ 900.00 |
| <input type="checkbox"/> | 4 months | <u>\$ 700.00</u> | <u>\$1400.00</u> |
| | Total Fee Due | \$0 | \$0 |

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.

Dated: March 20, 1998

By: 
Robert Wickman

2. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

| | | | |
|---|--|--|-----------|
| BASIC FILING FEE | | | \$790.00 |
| Total Claims: | <u>105</u> - (20) = <u>85</u> x \$ 22 (extra fee charge) - | | \$1870.00 |
| Independent Claims: | <u>14</u> - (3) = <u>11</u> x \$ 82 (extra fee charge) - | | \$902.00 |
| Multiple Dependent Claims | <u>X</u> \$270 (if applicable) - | | \$270.00 |
| Surcharge under 37 CFR 1.16(e) | | | \$130.00 |
| Extension of Time Fee (___ month) | | | \$0.00 |
| TOTAL OF ABOVE CALCULATIONS | | | \$3962.00 |
| Reduction by 1/2 for Filing by SMALL ENTITY. Note 37 CFR 1.9, 1.27, 1.28. | | | |
| If application, Verified Statement must be attached | | | \$1981.00 |
| Miscel. Filing Fees (Recordation of Assignment) | | | \$40.00 |
| TOTAL FEES | | | \$2021.00 |

3. METHOD OF PAYMENT OF FEES

X A check in the amount of \$2021.00
___ Charge Deposit Account No. 12-2475 in the amount of \$____. A duplicate copy of this Transmittal is enclosed.

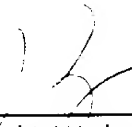
4. AUTHORIZATION TO CHARGE FEES TO DEPOSIT ACCOUNT 12-2475

X The Commissioner is authorized to charge Counsel's Deposit Account No. 12-2475 for any fees required under 37 CFR 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account 12-2475.

Respectfully submitted,

LYON & LYON

Dated: 5/17/98

By: 
Richard J. Warburg
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